

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AUC
ARQUE CAPITAL LTD
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PHOENIX, AZ 85018.

SEC Rule 606 Quarterly Report for the Quarter Ending June 30, 2009

Securities Listed on New York Stock Exchange

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	67.49%
Limit orders as percentage of total non-directed orders	25.58%
Other orders as percentage of total non-directed orders	6.94%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, L.L.C.	39.02%
2. Automated Trading Desk Financial Services, L.L.C.	26.59%
3. Citadel Derivatives Group LLC	14.88%
4. National Financial Services, L.L.C.	6.65%
5. Arque Capital, Ltd.	6.21%
6. UBS Securities, L.L.C.	5.92%
7. New York Stock Exchange	0.58%
8. Direct Edge ECN L.L.C.	0.14%

Information Concerning Significant Venues :

1. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	29.98%
Limit orders as percentage of total limit orders	61.02%
Other orders as percentage of total other orders	45.83%

2. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	28.69%
Limit orders as percentage of total limit orders	13.56%
Other orders as percentage of total other orders	54.17%

3. Citadel Derivatives Group LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.20%
Limit orders as percentage of total limit orders	18.08%
Other orders as percentage of total other orders	0.00%

4. National Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.42%
Limit orders as percentage of total limit orders	1.13%
Other orders as percentage of total other orders	0.00%

5. Arque Capital, Ltd.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.21%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	7.07%
Limit orders as percentage of total limit orders	4.52%
Other orders as percentage of total other orders	0.00%

7. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.43%
Limit orders as percentage of total limit orders	1.13%
Other orders as percentage of total other orders	0.00%

8. Direct Edge ECN L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.56%
Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	61.14%
Limit orders as percentage of total non-directed orders	37.99%
Other orders as percentage of total non-directed orders	0.87%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. UBS Securities, L.L.C.	33.19%
2. Automated Trading Desk Financial Services, L.L.C.	23.58%
3. Citadel Derivatives Group LLC	22.71%
4. Knight Equity Markets, L.P.	14.41%
5. Citigroup Global Markets, Inc.	3.06%
6. National Financial Services, L.L.C.	3.06%

Information Concerning Significant Venues :

1. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	20.71%
Limit orders as percentage of total limit orders	52.87%
Other orders as percentage of total other orders	50.00%

2. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	27.14%
Limit orders as percentage of total limit orders	18.39%
Other orders as percentage of total other orders	0.00%

3. Citadel Derivatives Group LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	30.00%
Limit orders as percentage of total limit orders	11.49%
Other orders as percentage of total other orders	0.00%

4. Knight Equity Markets, L.P.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	12.86%
Limit orders as percentage of total limit orders	16.09%
Other orders as percentage of total other orders	50.00%

5. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.29%
Limit orders as percentage of total limit orders	1.15%
Other orders as percentage of total other orders	0.00%

6. National Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE Amex or Regional Exchanges

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	41.64%
Limit orders as percentage of total non-directed orders	53.00%
Other orders as percentage of total non-directed orders	5.36%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, L.L.C.	57.41%
2. Automated Trading Desk Financial Services, L.L.C.	15.77%
3. UBS Securities, L.L.C.	10.73%
4. Citadel Derivatives Group LLC	8.52%
5. Domestic Securities Inc.	6.94%
6. National Financial Services, L.L.C.	0.63%

Information Concerning Significant Venues :

1. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	46.21%
Limit orders as percentage of total limit orders	70.24%
Other orders as percentage of total other orders	17.65%

2. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	22.73%
Limit orders as percentage of total limit orders	6.55%
Other orders as percentage of total other orders	52.94%

3. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.91%
Limit orders as percentage of total limit orders	5.36%
Other orders as percentage of total other orders	23.53%

4. Citadel Derivatives Group LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	12.12%
Limit orders as percentage of total limit orders	5.95%
Other orders as percentage of total other orders	5.88%

5. Domestic Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.52%
Limit orders as percentage of total limit orders	11.90%
Other orders as percentage of total other orders	0.00%

6. National Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.52%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	22.61%
Limit orders as percentage of total non-directed orders	77.39%
Other orders as percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. The Chicago Board Options Exchange	37.39%
2. NASDAQ OMX PHLX	24.78%
3. The International Securities Exchange	20.43%
4. NYSE Arca Options	10.00%
5. The Boston Option Exchange	6.96%
6. Nasdaq Options Market	0.43%

Information Concerning Significant Venues :

1. The Chicago Board Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	21.15%
Limit orders as percentage of total limit orders	42.13%
Other orders as percentage of total other orders	0.00%

2. NASDAQ OMX PHLX

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.38%
Limit orders as percentage of total limit orders	27.53%
Other orders as percentage of total other orders	0.00%

3. The International Securities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	30.77%
Limit orders as percentage of total limit orders	17.42%
Other orders as percentage of total other orders	0.00%

4. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	23.08%
Limit orders as percentage of total limit orders	6.18%
Other orders as percentage of total other orders	0.00%

5. The Boston Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	7.69%
Limit orders as percentage of total limit orders	6.74%
Other orders as percentage of total other orders	0.00%

6. Nasdaq Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.92%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%